



Requirements

NYDFS 23 NYCRR 500 2nd Amendment

		1	2	3	4	5
		Full Exempt	Limited Exempt without NPI	Limited Exempt with NPI	Standard	Large ("Class A") Companies
		Under the Cyber Program of Another Company	No NPI or Parent Company NPI	< 20 employees or < \$7.5 M revenue or < \$15 M assets		> \$20 M revenue and 1. > 2 K employees or 2. >\$1 M revenue ww
500.2	Cybersecurity Program			√	√	√
500.3	Cybersecurity Policy			√	√	√
500.4	Cybersecurity Governance				√	√
500.5	Vulnerability Management				√	√
500.6	Audit Trail				√	√
500.7	Access Privileges & Management			√	√	√
500.8	Application Security				√	√
500.9	Risk Assessment		√	√	√	√
500.10	Cybersecurity Personnel & Intelligence				√	√
500.11	Third-Party Service Provider Security Policy		√	√	√	√
500.12	Multi-Factor Authentication			√	√	√
500.13	Asset Management & Data Retention Requirements		√	√	√	√
500.14	Monitoring & Training			√	√	√
500.14(a)(1)	User Access Monitoring				√	√
500.14(a)(2)	Malicious Content Protection				√	√
500.14(b)	Endpoint Detection & Response (EDR) & SIEM					√
500.15	Encryption of Nonpublic Information				√	√
500.16	Incident Response & Business Continuity Management				√	√
500.17	Notices to Superintendent		√	√	√	√
		500.19(b) Under Covered Entity's Cyber Program	500.19(c)&(d) . Firm without NPI or . Insurance Firm without NPI other than from parent company	500.19(a) Anywhere (not only in NY): . Less than 20 employees, affiliates, and independent contractors or . less than \$7.5 M in gross annual revenue in each of the last 3 fiscal years from all business operations of the covered entity and the business operations in NY of the covered entity's affiliates or . Less than \$15 M in year-end total assets, calculated in accordance with generally accepted accounting principles, including assets of all affiliates		500.1(d) At least \$20 M gross annual revenue in each of the last 2 fiscal years in the State of New York and 1. Over 2,000 employees averaged over the last 2 fiscal years or 2. Over \$1 M in gross annual revenue in each of the last 2 fiscal years worldwide
		<p>If you do not qualify with any of the conditions from column 1 to 3, you have to meet the requirements from column 4 for Standard companies</p> <p>√ indicates a requirement</p>				